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# **Brochure Form ADV Part 2B**

# Tom H. Sleeter, MBA, AIF®

November 15, 2017



This brochure supplement provides information about Tom Sleeter that supplements the Total Clarity Wealth Management, Inc. Form ADV Part 2A Brochure. Please contact Tom at (630) 368-6160 if you would like to receive Total Clarity's Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement.

Additional information about Tom is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>. The searchable CRD number for Tom H. Sleeter is 3176055.

Tom H. Sleeter, MBA 1200 Harger Road, Suite 222 Oak Brook, IL 60523

Phone: 630-368-6160 Fax: 630-368-0880

# EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Item 2

**Tom Sleeter** Year of Birth: 1948

### Education:

Name of School	Years	Year	Degree	Major
	Attended	Graduated		
Michigan State University	1966 to 1970	1970	BA	Economics
University of Chicago	1970 to 1973	1973	MBA	Finance

# **Business Background:**

Name of	Type of Business	Title	Period of
Employer			Employment
Total Clarity	Advisory and	Chief Investment Officer	04/2007 to Present
Wealth	Financial Planning	Advisory Representative	
Management, Inc.			
Heartland Capital	Financial Services	President	01/1993 to Present
Resources			
Cetera Advisor	Broker/Dealer	Registered Representative	01/1999 to Present
Networks			
Health Directions	Healthcare	Vice President/CFO	09/1991 to 06/1992
	Consulting		
USG Corporation	Building Material	Director of Investor	1984 to 1991
	Manufacturer	Relations	
USG Corporation	Building Material	Director of Pension	1988 to 1991
	Manufacturer	Investments	

Securities Registrations held: Series 7, Series 66

**Designations:** Tom Sleeter earned and maintains the designation of AIF (Accredited Investment Fiduciary). The AIF designation is obtained by completing a web-based or Capstone program on investment fiduciary standards and a final certification exam. There is no prerequisite. This designation requires 6 hours of continuing education every year.

#### DISCIPLINARY INFORMATION

Item 3

If your advisor had any reportable legal or disciplinary events during the past ten years, they will be described below:

YOUR ADVISOR DOES NOT HAVE ANY REPORTABLE LEGAL OR DISCIPLINARY EVENTS.

## OTHER BUSINESS ACTIVITES

Item 4

In addition to serving as Chief Investment Officer and an Advisory Representative for Total Clarity Wealth Management, Inc. (hereinafter referred to as "Total Clarity"), Tom is a Registered Representative of Cetera Advisor Networks LLC, a registered Broker/Dealer, member FINRA/SIPC. Clients are under no obligation to purchase or sell securities through Tom. Cetera Advisor Networks and Total Clarity are not affiliated. As an independent contractor of Cetera Advisor Networks, Tom spends approximately 15% of his time offering securities products on a commission basis with Cetera Advisor Networks.

Tom may recommend clients implement recommendations through Cetera Advisor Networks. If clients implement investment recommendations through Cetera Advisor Networks, Tom may receive a commission. Additionally, as further disclosed in Total Clarity's Form ADV Part 2A Brochure under the Brokerage Practices section, Tom may receive trail compensation for investments directed through Cetera Advisor Networks. Therefore, there may be a conflict of interest to cause a client to direct certain securities business through Cetera Advisor Networks.

As a Registered Representative of Cetera Advisor Networks, Tom is subject to oversight by Cetera Advisor Networks over all his securities activities and certain outside business activities. Such oversight includes the review of Tom's securities business to ensure he considers the client's best interests.

Tom is the President of Heartland Capital Resources, Inc, a business valuation company. This business comprises approximately 5% of Tom's time and the income fluctuates depending on the amount of business.

For additional information, refer to the section entitled Brokerage Practices (Item 12) in Total Clarity's Form ADV Part 2A Brochure, which is available on our website: <a href="https://www.totalclaritywealth.com">www.totalclaritywealth.com</a>.

## ADDITIONAL COMPENSATION

Item 5

# Total Clarity Wealth Management, Inc.

Tom does not receive an economic benefit (i.e., sales awards and other prizes) from a non-client for providing advisory services.

In his role as a Registered Representative of Cetera Advisor Networks, Tom will earn commissions. The amount of commissions paid by Cetera Advisor Networks to Tom will fluctuate based on his overall production.

SUPERVISION Item 6

Tom is Chief Investment Officer and an Advisory Representative of Total Clarity. Supervision and oversight of his activities conducted through Total Clarity is provided by Jeanne Tackett, Vice President of Operations and Chief Compliance Officer (CCO). Terry Murphy, President, is the CCO Designee in Jeanne's absence. Jeanne Tackett and Terry Murphy can be contacted at (630) 762-9352.

The CCO or Designee reviews transactions conducted in clients' accounts. Additionally, all account information required to establish an account for a client must flow through the CCO or Designee. Total Clarity has procedures in place to be aware of any outside business activities engaged in or by Tom, to oversee communications with the public, and to review personal trading activities of Tom, as well as in any account over which he has direct or indirect beneficial interest.

As stated in Total Clarity's Form ADV Part 2A Brochure and as indicated above, Cetera Advisor Networks will also oversee the securities business of Tom Sleeter. Because he is a dually registered representative of Cetera Advisor Networks and Total Clarity, Cetera Advisor Networks has certain supervisory and administrative duties pursuant to the requirements of Conduct Rule 3040. Such review does not include the provision of investment advisory services to the clients of Total Clarity.

# REQUIREMENTS FOR STATE REGISTERED ADVISERS

Item 7

Total Clarity is not a State Registered Adviser.